

A6RC: Regulation and Compliance

Module Code:	A6RC
Long Title	Regulation and Compliance APPROVED
Title	Regulation and Compliance
Module Level:	LEVEL 6
EQF Level:	5
EHEA Level:	Short Cycle
Credits:	10
Module Coordinator:	JONATHAN BRITTAIN
Module Author:	JONATHAN BRITTAIN
Departments:	School of Business
Specifications of the qualifications and experience required of staff	
Learning Outcomes	
<i>On successful completion of this module the learner will be able to:</i>	
#	Learning Outcome Description
LO1	Identify the key regulations that are to be complied with in their specialised area of International Financial Services, explain why these regulations are required.
LO2	Evaluate the impact of key regulations on their role and in their work;
LO3	Undergo development activities to achieve professional standards in regulation and compliance;
LO4	Demonstrate compliance with the key regulations in your specialised area of International Financial Services using appropriate technologies for the job.
LO5	Respond appropriately to unpredicted actual or potential breaches in regulation
Dependencies	
Module Recommendations	
No recommendations listed	
Co-requisite Modules	
No Co-requisite modules listed	
Entry requirements	

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Module Content & Assessment			
Indicative Content			
No indicative content			
Assessment Breakdown			%
Workplace Assessment			100.00%
Assessments			
Full Time			
No Coursework			
No End of Module Assessment			
Workplace Assessment			
Assessment Type:	Assignment (0350)	% of total:	40
Assessment Date:	n/a	Outcome addressed:	1,2
Non-Marked:	No		
Assessment Description: The assessment for this module will comprise the generation of a Work-Based Portfolio. The portfolio will be organised and evidenced by the Learner, with Mentor and Supervisor support and guidance. A range of varied evidence can be presented to satisfy the learning outcomes. Suitable evidence for the Work-Based Portfolio for this module include: • Assignment • Certification of attendance & Certification of attainment for areas of regulation • Witness statement on actions taken in regulation breach; Output of work that shows breach handling; Narrative stating what action was taken; etc.			
Assessment Type:	Reflective Journal	% of total:	30
Assessment Date:	n/a	Outcome addressed:	3,4
Non-Marked:	No		
Assessment Description: Regulation and Compliance Development Review			
Assessment Type:	Portfolio	% of total:	30
Assessment Date:	n/a	Outcome addressed:	4,5
Non-Marked:	No		
Assessment Description: Collection of Evidence & Assignment			
Reassessment Requirement			
Coursework Only <i>This module is reassessed solely on the basis of re-submitted coursework. There is no repeat written examination.</i>			

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Module Workload				
Module Target Workload Hours 0 Hours				
Workload: Part Time				
Workload Type	Workload Description	Hours	Frequency	Average Weekly Learner Workload
Seminars	A Work-Based Portfolio tutorial will be delivered each week of their attendance at college in the two academic years, for one hour. The aim of this tutorial is to guide, support, and monitor the progress of learners toward the achievement of their work-based modules. The tutorial is delivered by the learner's assigned Academic Supervisor. In addition, the Academic Supervisor will monitor the progress of the learner in the generation of evidence and completion of the module	26	Every Week	26.00
Lecturer-Supervised Learning (Contact)	Academic supervisor visits	2	Every Week	2.00
Independent Learning Time	No Description	40	Every Week	40.00
Workbased learning	No Description	132	Every Week	132.00
Directed Learning	Directed e-learning	50	Every Week	50.00
Total Weekly Contact Hours				210.00

Module Resources	
<i>This module does not have any book resources</i>	
<i>This module does not have any article/paper resources</i>	
<i>This module does not have any other resources</i>	
Discussion Note:	